

10/11/01  
JC887 U.S. PTO

10-12-01  
Practitioner's Docket No. 1012-105(2000-111)

A  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

U.S. PTO  
10/11/01  
09/975707

Transmitted herewith for filing is the patent application of

Inventor(s): Daniel M. Giaquinta; Alexander Goror; Martin Devenney; Sum Nguyen;  
Leonid Matsiev

For (title): SYNTHESIS AND CHARACTERIZATION OF MATERIALS FOR  
ELECTROCHEMICAL CELLS

**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***  
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I hereby certify that, on the date shown below, this correspondence is being:

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**37 C.F.R. Section 1.8(a)**

**37 C.F.R. Section 1.10\***

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**TRANSMISSION**

[ ] transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_-\_\_\_\_.

Date: 10-11-01

Roni h. Masquelier  
Signature

Roni Masquelier

(type or print name of person certifying)

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"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal--page 1)

**1. Type of Application**

This transmittal is for an original (nonprovisional) application.

**2. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

20 Page(s) of Specification

4 Page(s) of Claims

4 Sheet(s) of Drawing(s)—Formal (Figs. 1-5)

**B.** Other Papers Enclosed

1 Page(s) of abstract

2 Page(s) of Application Data Sheet

**3. Declaration or Oath**

Not Enclosed.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

**6. Assignment**

An assignment of the invention to Symyx Technologies, Inc. will follow.

**7. Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	20	- 20 =	0 x	\$18.00	\$0.00
Independent Claims (37 CFR 1.16(b))	3	- 3 =	0 x	\$84.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$280.00	\$0.00
Filing Fee Calculation					\$740.00

**8. Small Entity Statement(s)**

Applicant is a small entity.

Filing Fee Calculation (50% of above) \$370.00

**9. Fee Payment Being Made at This Time**

Enclosed  
Filing Fee \$370.00

**Total Fees Enclosed** \$370.00

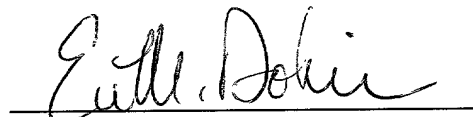
**10. Method of Payment of Fees**

Charge Account No. 50-0496 in the amount of \$370.00.  
A duplicate of this transmittal is attached.

**11. Instructions as to Overpayment**

Credit Account No. 50-0496.

Date: 11 October 2001



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